

<u>Consent Form – Sharing KYC documents with the Sri Lankan Stock broker</u>

10 – The Manager,	
The Hongkong and Shanghai Banking Corporation Limited,	
Colombo 01.	
Date:	
Full Name	
Customer number	
Registered Address	
Correspondence Address	
Passport /National identity card	
Number	
Passport issue date and expiry date	
Source of funds	
Contact Number	
Stock Brokers name	

I hereby irrevocably authorize The Hongkong and Shanghai Banking Corporation Limited ('HSBC'/Bank) to share my identity documents and all documents obtained for verification of address/es including supporting documents held with the Bank ("Documents") with my /our Stock broker in Sri Lanka for the purpose of opening an account at the Colombo Stock Exchange (CSE).

I understand that HSBC does not directly get involved in setting up the depository account at CSE and shall not bear any responsibility whatsoever for any products/services offered/sold by the Stock brokering firm and the total responsibility and liability of the share investments made in the Sri Lankan share market shall rest with the Stock Brokering Company.

I further understand and acknowledge that;

1.Disclosure and/or issuance of the said Documents to my/our stock broker has implications inter allia on

data privacy and

2. It is the sole responsibility of my/our stock broker, to ensure privacy and protection of the data

contained in the said Documents and to handle, transfer or process such data diligently, in good faith

and without negligence, at all given times.

Accordingly, HSBC shall not in any event be held directly or indirectly liable for any data breach including

but not limited to identity theft or misuse of information, by reason /as a consequence of sharing the said

Documents with my/our stock broker.

Customer Signature